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November 29, 1997

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Re: U.S. Patent Application
Applicant(s) : J. Friedman et al
Title : DB, THE RECEPTOR FOR LEPTIN, NUCLEIC ACIDS
ENCODING THE RECEPTOR, AND USES THEREOF
Serial No. : 08/783,734
Filed : January 16, 1997
Our File : 600-1-162 CP2

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to ASSISTANT COMMISSIONER FOR PATENTS TRADEMARKS, WASHINGTON, DC, 20231 on November 29, 1997

David A. Jackson, Reg. No. 26,742
(Name of Registered Representative)

November 29, 1997
(Signature and Date)

SUBMISSION OF MISSING PARTS OF APPLICATION
UNDER 37 CFR 1.53(d)

Dear Sir:

Responsive to the Notice of File Missing Parts of Application under 37 CFR 1.53(d), Applicants submit herewith the following:

1. Two (2) counterparts of an executed Declaration and Power of Attorney making reference to the above-identified Application, and in compliance with 37 CFR 1.63.
2. A response to a Notice to Comply with Requirements for Patent Applications containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures.

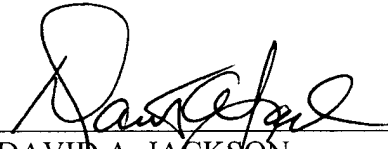
RECEIVED
DEC - 8 1997
OFFICE OF PETITIONS
AND APPEALS

12/19/1997 JBURKE 00000019 08783734
02 FC:101 790.00 OP
03 FC:105 130.00 OP
04 FC:197 2766.00 OP

- A. A copy of the Sequence Listing in computer readable form (ASCII text), submitted on a 3½" floppy disk compatible with IBM format.
 - B. A statement in support of the filing and submission of a Sequence Listing in accordance with 37 CFR §§1.821 - 1.825.
3. A check in the amount of \$ 3,686.00, covering the following:
- (b) Filing Fee \$ 3,556.00
 - (a) Surcharge under 37 CFR 1.16(e) \$ 130.00

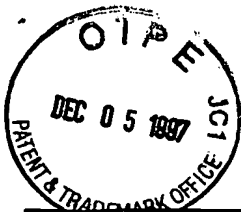
Applicant hereby authorizes that any charges in addition to the above authorized that relate to the filing and processing of the present Application in accordance with 37 CFR 1.16 and 1.17 may be charged to Deposit Account No. 11-1153. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,



DAVID A. JACKSON
Attorney for Applicant(s)
Registration No. 26,742

KLAUBER & JACKSON
411 Hackensack Avenue
Hackensack, NJ 07601
(201) 487-5800



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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ALEXANDER E. JACKSON
411 HACKENSACK AVENUE
HACKENSACK, NJ 07601

NOT ASSIGNED

DATE MAILED:

1812

04/19/97

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 120.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☒ large entity ☐ small entity (verified statement filed), is \$ 2412.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ 770.00 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 2012.00, including any multiple dependent claim fees, are required.
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☒ missing.
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

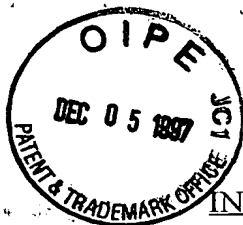
☒ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

C. M. Hayward
Customer Service Center
Initial Patent Examination Division (703) 308-1202



#6

PATENT
600-1-162CP2IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S) : J. FRIEDMAN EXAMINER : UNKNOWN
et al

SERIAL NO. : 08/783,734 ART UNIT : UNKNOWN

FILED : January 16, 1997

FOR : DB, THE RECEPTOR FOR LEPTIN, NUCLEIC ACIDS
ENCODING THE RECEPTOR, AND USES THEREOF

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, DC 20231 on November 29, 1997.

David A. Jackson, Reg. No. 26,742
(Name of Registered Representative)

David A. Jackson 11/29/97
(Signature and Date)

STATEMENT IN SUPPORT OF THE FILING/SUBMISSION OF A
NUCLEOTIDE/AMINO ACID SEQUENCE LISTING IN
ACCORDANCE WITH 37 CFR §§1.821 - 1.825

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

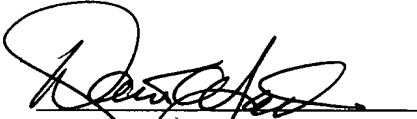
Dear Sir:

DAVID A. JACKSON, attorney of record, hereby states as follows:

1. I hereby state that the content of the paper and computer readable copies of the Sequence Listing submitted in accordance with 37 CFR §1.821(c) and (e), respectively, are the same.
2. I hereby state that the submission, filed in accordance with 37 CFR §1.821(g) herein does not include new matter.
3. I hereby declare that all statements made herein of the undersigned's own

knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18 of the U.S. Code, Section 1001 and that such willful false statements may jeopardize the validity of this Application or any patent issuing thereon.

DATED: November 29, 1997


DAVID A. JACKSON